

# Public stakeholder consultation - Interim evaluation of Horizon 2020

Fields marked with \* are mandatory.

[Horizon 2020](#), with a budget of €77bn from 2014 – 2020, is the biggest European Union research and innovation framework programme ever and one of the largest worldwide. Horizon 2020 promotes Europe's scientific and technological excellence to extend the frontiers of human knowledge, boosts the European Union's economic competitiveness and addresses societal challenges.

We invite everyone to take part in this public stakeholder consultation in the context of the interim evaluation of Horizon 2020, and to have a say on research and innovation activities run by the European Commission. The results of this consultation will feed into the report on the interim evaluation of Horizon 2020, will help us to improve the implementation of Horizon 2020 and will set the scene for the future discussions on the next EU research and innovation funding post-2020.

According to the legal act establishing Horizon 2020, the European Commission has to carry out an interim evaluation of the programme by 31 December 2017. Public stakeholder consultation is a very important part of this interim evaluation.

This Horizon 2020 questionnaire consists of six parts and it will take around 20 minutes to respond.

At the end of the questionnaire there is an opportunity to upload a position paper for the interim evaluation of Horizon 2020.

The questionnaire is focused on Horizon 2020 in general. There are/will be separate online consultations on the [Euratom Programme](#), the European Institute of Innovation and Technology ([EIT](#)), and public-public and public-private partnerships ([more information here](#)).

## I About You

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**Part I** consists of questions about the respondent. We would like to know who our respondents are in order to better understand their perspective, expectations and needs in terms of research and innovation. It will also help us to tailor this survey to respondents' experiences with Horizon 2020.

**\*1. I am responding**

- As an individual
- On behalf of a single institution/company
- On behalf of an “umbrella” organisation of EU interest

**\*1.1 What type of organisation do you represent?**

- Academia
- Research organisation
- Business
- Public authority
- Non-Governmental Organisation
- Other

**\*1.3 Is your organisation included in the Transparency Register?**

If your organisation is not registered, we invite you to register [here](#), although it is not compulsory to be registered to reply to this consultation. [Why a transparency register?](#)

- Yes
- No

**\*2. You are from**

or if you answer on behalf of an organisation, country where it is established

- |                                  |  |  |   |
|----------------------------------|--|--|---|
| <input type="radio"/> Austria    | <input type="radio"/> Belgium                | <input type="radio"/> Bulgaria           | <input type="radio"/> Croatia                               |
| <input type="radio"/> Cyprus     | <input type="radio"/> Czech Republic         | <input type="radio"/> Denmark            | <input type="radio"/> Estonia                               |
| <input type="radio"/> Finland    | <input type="radio"/> France                 | <input checked="" type="radio"/> Germany | <input type="radio"/> Greece                                |
| <input type="radio"/> Hungary    | <input type="radio"/> Ireland                | <input type="radio"/> Italy              | <input type="radio"/> Latvia                                |
| <input type="radio"/> Lithuania  | <input type="radio"/> Luxembourg             | <input type="radio"/> Malta              | <input type="radio"/> Netherlands                           |
| <input type="radio"/> Poland     | <input type="radio"/> Portugal               | <input type="radio"/> Romania            | <input type="radio"/> Slovak Republic                       |
| <input type="radio"/> Slovenia   | <input type="radio"/> Spain                  | <input type="radio"/> Sweden             | <input type="radio"/> United Kingdom                        |
| <input type="radio"/> Albania    | <input type="radio"/> Bosnia and Herzegovina | <input type="radio"/> Faroe Islands      | <input type="radio"/> Former Yugoslav Republic of Macedonia |
| <input type="radio"/> Georgia    | <input type="radio"/> Iceland                | <input type="radio"/> Israel             | <input type="radio"/> Moldova                               |
| <input type="radio"/> Montenegro | <input type="radio"/> Norway                 | <input type="radio"/> Serbia             | <input type="radio"/> Switzerland                           |
| <input type="radio"/> Tunisia    | <input type="radio"/> Turkey                 | <input type="radio"/> Ukraine            | <input type="radio"/> Other                                 |

**3. Information about the respondent**

**\*First name**

Sophie

\* **Last name**

Bloemen

\* **Email address**

sophie@commonsnetwork.eu

\* **Organisation (please reply N/A if responding as an individual citizen)**

Commons Network

\* **4. Your contribution**

Note that, whatever option chosen, your answers may be subject to a request for public access to documents under [Regulation \(EC\) N°1049/2001](#)

- can be published with your personal information** (I consent to the publication of all the information in my contribution in whole or in part including my name or my organisation's name, and I declare that nothing within my response is unlawful or would infringe the rights of any third party in a manner that would prevent publication)
- can be published provided that you remain anonymous** (I consent to the publication of any information in my contribution in whole or in part (which may include quotes or opinions I express) provided that it is done anonymously. I declare that nothing within my response is unlawful or would infringe the rights of any third party in a manner that would prevent the publication.

\* **5. Have you received/are you receiving support from Horizon 2020 ?**

- YES
- NO

**5.1. What are the main reasons for not participating in Horizon 2020? Select maximum 3.**

*between 1 and 3 answered rows*

	My choice
I'm not a researcher/innovator	<input type="radio"/>
Lack of awareness of the EU research and innovation framework programme Horizon 2020	<input type="radio"/>
Preference to participate in other European or international programmes	<input type="radio"/>
Preference to participate in other national or regional programmes	<input type="radio"/>
Horizon 2020 project implementation rules are cumbersome	<input type="radio"/>
Success rates in Horizon 2020 are too low to be worth applying	<input type="radio"/>
Difficulties to find project partners	<input type="radio"/>
Lack of a relevant area/topic for my needs	<input type="radio"/>
Lack of an adequate type of financial support needed for my work	<input type="radio"/>
Concerns about sharing valuable knowledge with partners	<input type="radio"/>
Limited financial/human resources to prepare a proposal	<input checked="" type="radio"/>
I tried, but my proposal wasn't selected	<input type="radio"/>
Other	<input type="radio"/>

## II HORIZON 2020 - PRIORITIES AND OBJECTIVES

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**Part II** is focused on priorities and objectives of Horizon 2020. We would like to know whether the activities under Horizon 2020 contribute to wider European Union policy priorities as well as to the programme's objectives. Horizon 2020 objectives are set up in the legal act establishing the Programme and they are reflected in its structure.

**8. Do you think that Horizon 2020 is contributing to the following priorities of the European Union?**

	Not at all	To some extent	To large extent	Fully	I don't know
*Supporting <a href="#">jobs, growth and investment</a>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>
*Promoting an <a href="#">Energy Union with a forward looking climate policy</a>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
*Fostering the role of the <a href="#">European Union as a stronger global actor</a>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
*Helping to create a <a href="#">digital single market</a>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
*Achieving a deeper and fairer <a href="#">internal market</a> with a strengthen industrial base	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>

**9. Do you think that Horizon 2020 is helping to:**

	Not at all	To some extent	To large extent	Fully	I don't know
*Build a society and an economy based on knowledge and innovation.	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
*Implement the "Europe 2020" strategy, the EU's strategy for jobs and smart, sustainable and inclusive growth.	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
*Develop and implementing EU policies.	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>

<p>*Support the development of the European Research Area, a unified area open to the world, in which scientific knowledge, technology and researchers circulate freely.</p>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
<p>*Foster excellent science.</p>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>
<p>*Boost industrial leadership.</p>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>
<p>*Improve the lifelong health and well-being of all.</p>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
<p>*Secure sufficient supplies of safe, healthy and high quality food and other bio-based products.</p>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>
<p>*Make the transition to a reliable, affordable, publicly accepted, sustainable and competitive energy system.</p>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
<p>*Achieve a European transport system that is resource-efficient, climate- and environmentally-friendly, safe and seamless for the benefit of all citizens, the economy and society.</p>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>
<p>*Achieve a resource- and water-efficient and climate change resilient economy and society, protection and sustainable management of natural resources and ecosystems and a sustainable supply and use of raw materials.</p>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>

<p>*Foster a greater understanding of Europe, providing solutions and supporting inclusive, innovative and reflective European societies.</p>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>
<p>*Foster secure European societies in a context of unprecedented transformations and growing global interdependencies and threats, while strengthening the European culture of freedom and justice.</p>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
<p>*Spread excellence and widening participation.</p>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
<p>*Support science with and for society.</p>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>

### III RELEVANCE AND IMPLEMENTATION OF HORIZON 2020

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In **part III**, we would like to know whether Horizon 2020 priorities and forms of funding respond e.g. to current challenges as well as to the needs and expectations of stakeholders. Some questions in this part are addressed to participants in Horizon 2020 projects and focus on different aspects of Horizon 2020 implementation. Hands-on experience with project preparation and management will represent important feedback for us.

**10. Do you think that:**

	Not at all	To some extent	To large extent	Fully	I don't know
*Horizon 2020 priorities address the current challenges confronted by the European Union (e.g. migration, terrorism, ageing population)	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
*Horizon 2020 priority areas and calls support the latest developments in research and innovation at the national /European and international level	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>
*Horizon 2020 is stimulating disruptive and market-creating innovation (a new process, product or service that upsets existing business models and serves new set of customers)	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>
*Horizon 2020 addresses the main citizens' needs in terms of research and innovation	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
*Horizon 2020 thematic coverage is flexible enough to cope with changing circumstances	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>



**11. Are the forms of funding provided through Horizon 2020 relevant to your needs?**

	Not at all	To some extent	To large extent	Fully	I don't know
*Grants for collaborative projects	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
*Grants for single beneficiary projects	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>
*Financial instruments (e.g. loans, guarantees)	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>
*Public procurement	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>
*Prizes	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
*Co-fund (e.g. ERA-NET)	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>

**11.1 Please provide here any other comments (if any) on the relevance of Horizon 2020 priorities and forms of funding.**

*500 character(s) maximum*

Biomedical R&D priority setting determined by public health needs  
 The EU should

- keep its Societal Challenge pillar as one of the key cornerstones of its research policies and funding.
- adapt priority setting procedures under H2020 and its joint undertakings IMI2 and EDCTP2 to ensure balanced stakeholder involvement and greater transparency in the decision making processes.
- increase consistency and cooperation between H2020 and other national and European initiatives

**IV EFFICIENCY AND EU ADDED VALUE**

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**Part IV** takes a closer look at the costs and benefits of participation in Horizon 2020 as well as at the EU added value of Horizon 2020.

\* 17. How do you rate the overall added value of Horizon 2020 compared to national and/or regional level research and innovation programmes in EU Member States?

- Higher
- Similar
- Lower
- I don't know

18.1 Please provide here any further comments (if any) on the EU added value of Horizon 2020

*500 character(s) maximum*

19. What would be the impact for you or your organisation if the EU support to research and innovation (Horizon 2020 and its possible successor) were to be discontinued?

*500 character(s) maximum*

none

## V INTERNAL STRUCTURE OF HORIZON 2020 AND SYNERGY WITH OTHER EU PROGRAMMES

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Horizon 2020 offers many funding opportunities and in **part V** we would like to collect information about the internal structure of Horizon 2020. We also look at other European Union funding programmes and their synergies and/or overlaps with Horizon 2020.

**20. To what extent do you agree with the following statements regarding the internal structure of Horizon 2020?**

	I strongly disagree	I disagree	I agree	I strongly agree	I don't know
*The different parts of Horizon 2020 complement each other	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>
*Combining different forms of support for research and innovation under one single programme better addresses stakeholder needs than having separate programmes	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>
*The increased use of calls for cross cutting activities and interdisciplinary work is a positive feature in the programme	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>
*In general, there is more coherence and synergy between different parts of Horizon 2020 than in the 7th Framework Programme	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>

**21. The European Commission implements several funding programmes. How would you describe the linkages between Horizon 2020 and the following programmes?**

	Work in synergy	Overlap	Work in synergy but some overlaps exist	Complement each other	I don't know
*European Structural and Investment Funds	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>
*European Fund for Strategic Investments (EFSI)	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>
*Programme for Competitiveness of Enterprises and small and medium-sized enterprises (COSME)	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>
*Erasmus+	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>
*The LIFE Programme	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>
*Common Agricultural Policy (CAP)	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>
*Connecting Europe Facility (CEF)	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>

**21.1 Please provide here any further comments (if any) on synergy, complementarity and/or overlaps within Horizon 2020 and with other funding programmes.**

*500 character(s) maximum*

**\* 22. Overall are you so far satisfied with Horizon 2020?**

- Very satisfied
- Satisfied
- Dissatisfied
- Very dissatisfied
- I don't know

## **VI FORWARD LOOKING QUESTIONS**

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Horizon 2020 will end in the year 2020 and we would like to have a first overview of relevant issues and feedback for a possible successor programme. The European Commission's goal is to maximize the socio-economic impact of the EU support to research and innovation, so we would like to hear from you what could be done to achieve this.

23. Horizon 2020 mainstreams sustainable development as a cross-cutting issue for collaborative research and dedicates 60% of its budget to sustainable development. Research and innovation is an important driver for the implementation of the Sustainable Development Goals. The FP7 ex-post evaluation concluded that Horizon 2020 and its successors should focus on critical challenges and opportunities in the global context.

**Please choose up to 5 [Sustainable Development Goals](#) on which, in your opinion, the future EU framework programmes for research and innovation should focus.**

*between 1 and 5 answered rows*

	My choice
End poverty in all its forms everywhere	<input type="radio"/>
End hunger, achieve food security and improved nutrition and promote sustainable agriculture	<input type="radio"/>
Ensure healthy lives and promote well-being for all at all ages	<input checked="" type="radio"/>
Ensure inclusive and quality education for all and promote lifelong learning	<input type="radio"/>
Achieve gender equality and empower all women and girls	<input type="radio"/>
Ensure access to water and sanitation for all	<input type="radio"/>
Ensure access to affordable, reliable, sustainable and modern energy for all	<input checked="" type="radio"/>
Promote inclusive and sustainable economic growth, employment and decent work for all	<input type="radio"/>
Build resilient infrastructure, promote sustainable industrialization and foster innovation	<input type="radio"/>
Reduce inequality within and among countries	<input checked="" type="radio"/>
Make cities inclusive, safe, resilient and sustainable	<input type="radio"/>
Ensure sustainable consumption and production patterns	<input type="radio"/>
Take urgent action to combat climate change and its impacts	<input checked="" type="radio"/>
Conserve and sustainably use the oceans, seas and marine resources	<input type="radio"/>
Sustainably manage forests, combat desertification, halt and reverse land degradation, halt biodiversity loss	<input type="radio"/>
Promote just, peaceful and inclusive societies	<input checked="" type="radio"/>
Revitalize the global partnership for sustainable development	<input type="radio"/>

**24. In your opinion, what is the most important issue/problem/opportunity to be addressed by the EU framework programmes for research and innovation? Indicate one area/topic.**

*200 character(s) maximum*

How to support non exclusive innovation - of benefit to all ..How to ensure the production of knowledge as a common good.

**25. To what extent do you agree that the following issues are needed to further maximize the socio-economic impact of the EU framework programme for research and innovation?**

	I strongly disagree	I disagree	I agree	I strongly agree	I don't know
*Increased budget for financing research and innovation at EU level	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>
*More room for bottom-up proposals	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>
*Better defined priorities for research and innovation activities	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>
*Increased involvement of citizens in priority setting	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>
*Increased use of cross-cutting calls (e.g. calls responding to more than one societal challenge)	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>
*Increased coordination/synergy with other EU programmes	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>
*Better access to the programme for newcomers	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>
*Increased focus on capacity-building activities for research and innovation	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>

*Increased focus on supporting closer to market activities	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>
*Increased focus on supporting demonstration	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>
*Increased focus on fundamental research	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
*Increased dissemination activities for the results of funded projects	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>
*Increased focus on support for the exploitation of research results	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>

**25.1 Please provide here any further comments (if any) on issues you find important regarding a possible successor programme to Horizon 2020.**

*500 character(s) maximum*

Public interest conditions on funding of research and innovation. In particular ensure public return on public investment & safeguard equitable access to publicly funded health technologies. Presently, the public interest in H2020 investments is not sufficiently protected. Many leading experts and governments - including EU Member States - have highlighted the need to improve the way public biomedical research and development (R&D) funding is managed.

**26. Please upload a document (e.g. position paper) if you wish to share any further comments /recommendations regarding the implementation of EU framework programmes, and in particular on Horizon 2020.**

*The maximum file size is 1MB.*

*Please note that the uploaded document will be published alongside your response to the questionnaire which is the essential input to this open public consultation. The document is an optional complement and serves as additional background reading to better understand your position.*

**dc9b4536-1e05-43f4-ab28-2402291b5d52/H2020\_position\_paperCommonsNetwork.pdf**



**Please share with us a short, telegraphic testimonial. What does Horizon 2020 mean to you?  
What is its main feature?**

*200 character(s) maximum*

European Public Funding serving the common good and citizens - a key tool for the EU to construct a better Europe & world & detached from commercial interests and market logic

## **Contact**

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